U.S. Securities and Exchange Commission

Tips, Complaints, and Referrals

Summary Page - After Submission

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The Complaint Form questions that you responded to, the answers you entered for those questions, and any documents that you have uploaded to this TCR are listed below.

Submission Number: 17713-984-698-738

Thank you for contacting the United States Securities and Exchange Commission. This automated response with your Submission Number confirms that your submission has been received successfully. Please write down your Submission Number or print/save a copy of your submission for future

reference. Once you navigate away from this page you will not be able to get back to your submission.

We are always interested in hearing from the public, and your submission will be given careful consideration in view of the Commission's overall responsibilities under the federal securities laws. Please note, however, that it is the Commission's policy to conduct its investigations on a non-public basis in order to preserve the integrity of its investigative process. Subject to the provisions of the Freedom of Information Act, we cannot disclose to you any information which we may gather, nor can we confirm the existence or nonexistence of an investigation, unless such information is made a matter of public record in proceedings brought before the Commission or the courts. Therefore, this may be the only response that you receive. If you want to learn more about how the Commission handles inquiries or complaints, please visit http://www.sec.gov/complaint/info_tipscomplaint.shtml.

Please note that if you selected an answer of “Yes” to the question indicating that you want to file this information under the SEC’s Whistleblower program, you are agreeing to the following statement:

- I declare under penalty of perjury under the laws of the United States that the information contained herein is true, correct and complete to the best of my knowledge, information, and belief. I fully understand that I may be subject to prosecution and ineligible for a whistleblower award if, in my submission of information, my other dealings with the SEC, or my dealings with another authority in connection with a related action, I knowingly and willfully make any false, fictitious, or fraudulent statements or representations, or use any false writing or document knowing that the writing or document contains any false, fictitious, or fraudulent statement or entry.

If you are an attorney completing this form on behalf of your client, by selecting an answer of “Yes” to the question indicating that you want to file this information under the SEC’s Whistleblower program, you are agreeing to the following statement:

- I certify that I have reviewed this form for completeness and accuracy and that the information contained herein is true, correct and complete to the best of my knowledge, information and belief. I further certify that I have verified the identity of the whistleblower on whose

behalf this form is being submitted by viewing the whistleblower's valid, unexpired government issued identification (e.g., driver's license, passport) and will retain an original, signed copy of this form, with Section F signed by the whistleblower, in my records. I further certify that I have obtained the whistleblower's nonwaiveable consent to provide the Commission with his or her original signed Form TCR upon request in the event that the Commission requests it due to concerns that the whistleblower may have knowingly and willfully made false, fictitious, or fraudulent statements or representations, or used any false writing or document knowing that the writing or document contains any false fictitious or fraudulent statement or entry; and that I consent to be legally obligated to do so within 7 calendar days of receiving such a request from the Commission.

PRINT SUBMISSION

What is your complaint about?

Please select the option that best describes your complaint.
Other

Is this supplemental information to a previous complaint?
Yes

What is the Submission Number of the previous complaint?
17678-166-597-663

In your own words, describe the conduct or situation you are complaining about.
American Tradeshow Services (ATS) misused/siphoned my Onsite Tech ID (104281421) from AISES 2017 (hardcoded in Special Processing.docx with plaintext API creds: Login atsaises / PW A1ses2016! / Key UCQoov5oUlNRFTxzYKhBhk0DI1bt8c/mRE1IvGgRkwteAy4htI into TPS 2017-2018 databases ("PMT006 Admin" access in INV.MDB/.ldb files). This enabled potential lead/data mishandling for public company sponsors (3M, Boeing, Intel, Raytheon, NASA) via scanning/training (TIDBITS email table with contacts/booths). Evidence includes FedEx shipment to my name at Denver Holiday Inn (Sep 14 2017, Tracking

770251543260, signed R. BLACK for AISES/NNHRT STOCK), TPS 2018 Delivery List (booth assignments e.g., Emerson 2 iPads, Sulzer MobilePlus), Blue Max numbers (206 units total: 99 MobilePlus, 94 LeadsPlus apps, 10 iPads), AISES surveys demo (judging form). Post-TCR #17678-166-597-663 (Jan 7 2026), ATS filed retaliatory RO (St. Tammany Parish docket #2026-10181, Zoom court Jan 30 2026 9 AM, granted; I appeared; no mailed notice; emails with judge's assistant Mancy J. Chauvin mjchauvin@stpgov.org vanished). Sent Jan 9 2026 demand letter to ATS/AEP for logs/billing audits — no response. Suspect SOX/books & records violations if leads inflated/misrepresented; retaliation violates Dodd-Frank protections. Attachments: All PDFs (FedEx, TIDBITS, TPS lists/Blue Max, surveys, demand letter, TCR confirmation, RO notes). Ongoing.

Are you having or have you had difficulty getting access to your funds or securities?
No

Did you suffer a loss?
No

When did you become aware of the conduct? (mm/dd/yyyy)
01/06/2026

When did the conduct begin? (mm/dd/yyyy)
09/14/2017

Is the conduct ongoing?
Yes

Has the individual or firm acknowledged the conduct?
No

How did you learn about the conduct? You may select more than one answer.
Conversations, Internal business documents, SEC filings

Have you taken any action regarding your complaint? You may select more than one answer.
Complained to firm, Complained to other regulator, Complained to SEC, Legal action

Provide details.
Filed original TCR Jan 7 2026 (#17678-166-597-663). Sent demand letter Jan 9 2026 to ATS/AEP for ID logs/billing audits (no response). Appeared in St. Tammany Parish Zoom court Jan 30 2026 for RO (#2026-10181); judge granted; no mailed notice. Filed OIG hotline Feb 18 2026 (tracking TMGMG202602660 2666W) for potential TCR mishandling/retaliation concerns. Called judge's assistant Mancy J. Chauvin (mjchauvin@stpgov.org, 985-809-5380) multiple times; emails vanished from my server.

Who are you complaining about?

Person or Firm 1

Are you complaining about a person or a firm?
Firm

Select the title that best describes the firm the complaint is about.
Other

For Other Firm, please specify.

American Tradeshow Services (ATS) – A trade show services company providing onsite tech support, lead retrieval (MobilePlus/LeadsPlus scanners), registration, and badging for events like AISES 2017 and TPS 2017-2018. Suspected violations include unauthorized siphoning/reuse of my Onsite Tech ID (104281421) from AISES 2017 (hardcoded in Special Processing.docx with plaintext API credentials) into TPS databases ("PMT006 Admin" access in INV.MDB/.ldb files), potential lead/data mishandling for public company sponsors (3M, Boeing, Intel, Raytheon, NASA), and retaliation via restraining order (St. Tammany Parish docket #2026-10181, filed post-TCR #17678-166-597-663).

Are you or were you associated with the person or firm when the alleged conduct occurred?

Yes

How are you or were you associated with the person or firm you are complaining about?

I was a former contractor/onsite technical employee for American Tradeshow Services (ATS) during the AISES 2017 National Conference in Denver, CO (September 21–23, 2017). ATS assigned me Onsite Tech ID 104281421, which was hardcoded in their Special Processing system (including

plaintext API credentials: Login atsaises / Password A1ses2016! / Key UCQoov5oUlNRFTxzYKhBhk0DI1bt8c/mRE1IvGgRkwteAy4htI for lead retrieval, polling, badge reprints, and event access controls. I personally handled sponsor scanner training/delivery (per TIDBITS email from Heather Richardson, September 19, 2017, listing contacts for 3M, Boeing, Intel, NASA, Raytheon, etc.), received a FedEx shipment of ATS stock/gear in my name (Tracking #770251543260, delivered September 14, 2017, 9:10 AM to me at Holiday Inn Denver, signed R. BLACK for "AISES/NNHRT STOCK"). My role gave me direct access to and knowledge of their registration/lead systems. After my involvement ended, evidence shows my ID was siphoned/reused as an unauthorized admin credential in TPS 2017–2018 databases ("PMT006 Admin" access in INV.MDB/.ldb files). Post-TCR #17678-166-597-663 (January 7, 2026), ATS filed a retaliatory restraining order against me (St. Tammany Parish docket #2026-10181, Zoom court January 30, 2026, 9 AM; I appeared; order granted; no mailed notice received; follow-up emails to judge's assistant Mancy J. Chauvin mjchauvin@stpgov.org vanished from my server). This association provides my firsthand knowledge of the misconduct and retaliation.

Identifier Type
Unknown

Are you a current or former Employee, Officer, Partner, or Employee Director of any entity you are complaining about?
Yes

Check all that apply.
Employee

Are you a current or former Non-Employee Director, Consultant, Contractor or Trustee of any entity you are complaining about?
No

Firm Name
American Tradeshow Services

Street Address
217 General Patton Ave

Address (Continued)
Mandeville, LA 70471

Country
United States

Zip / Postal Code
70471

City
MANDEVILLE

State / Province
LA

Website
https://www.american-tradeshow.com/

If the complaint is about an entity or person that has custody or control of your investments, have you had difficulty contacting that entity or person?
Unknown

Which investment products are involved?

Select the type of product involved in your complaint.
Other

Please select the category that best describes the security product.
Cryptocurrencies or crypto assets

About you

Are you filing this tip under the SEC's whistleblower program?

Yes

Are you an attorney filling out this form on behalf of an anonymous whistleblower client who is seeking an award?

No

Title

Mr

First Name

Courtney

Last Name

Thomas

Street Address

NA

Zip / Postal Code

711112

City

Bossier

State / Province

Louisiana

Mobile Telephone
3187711488

Email Address
thomascourtney318@gmail.com

What is the best way to reach you?
Phone

Are you represented by an attorney in connection with this matter, or would you like to provide your attorney's contact information?
No

Select the profession that best represents you.
Other

For Other, please specify.
Just a regular guy disturbed by connecting dots to his identity he had no clue about until some forensic AI in Gemini randomly decided it was a good idea to implement me in something i didn’t know was as massive as implemented prior. Plain and simple.

Have you reported the matter at issue in this submission to your supervisor, compliance office, whistleblower hotline, ombudsman, or any other available mechanism for reporting possible violations at any entity you are complaining about?

Yes

If you answered "Yes," please provide details.

I've submitted this. I'm pretty sure someone knows. I've been way too vocal publicly and action has been taken against me.

Were you retaliated against for reporting the matter at issue in this submission either internally at the entity or to a regulator?

Yes

If you answered "Yes," please provide details.

On January 7, 2026, I submitted TCR #17678-166-597-663 detailing the above.

Within weeks, ATS filed a restraining order against me:

• Docket #2026-10181, St. Tammany Parish Court, Covington, LA (701 N Columbia St).

• Zoom court hearing January 30, 2026, 9:00 AM.

• The judge granted the order.

• I personally appeared and contested it.

• I updated my mailing address during the hearing.

• As of February 17, 2026, I had received no mailed notice or final paperwork.
• Police attempted service at an old address.
• Follow-up emails with the judge's assistant (Mancy J. Chauvin, mjchauvin@stpgov.org, 985-809-5380) vanished from my server after communication.

This RO was filed shortly after my protected disclosure. It forced me into court, potentially restricts my ability to reference or access ATS-related records without legal risk, and was accompanied by improper service and disappeared communications. No legitimate business reason exists — my association with ATS ended in 2017. ATS never responded to my January 9, 2026 demand letter (sent to Keith LeBlanc, Karen LeBlanc, Mike Schwartz, Pete Schulz, Mike Truesdell, and AEP contacts) requesting ID conversion logs, billing reconciliation, and NERC-CIP audits.

This conduct constitutes retaliation in violation of Dodd-Frank Section 21F anti-retaliation provisions. The temporal proximity (TCR Jan 7 → RO court Jan 30), combined with the obstructive elements (vanished emails, bad service, no notice), creates a strong inference of intent to intimidate, harass, or interfere with my cooperation or further reporting.

Has anyone taken steps to prevent you from reporting this violation to the SEC?

No

Are documents or other information being submitted that could potentially identify the whistleblower?

Yes

Identify with particularity any documents or other information in your submission that you believe could reasonably be expected to reveal your identity.

My identity is the entire thing kind of. Oh well. Can’t hide it.

Does the whistleblower want to be eligible to apply for a whistleblower award?

Yes

1. Are you, or were you at the time you acquired the original information you are submitting to us, a member, officer or employee of the Department of Justice; the Securities and Exchange Commission; the Comptroller of the Currency; the Board of Governors of the Federal Reserve System; the Federal Deposit Insurance Corporation; the Office of Thrift Supervision; the Public Company Accounting Oversight Board; any law enforcement organization; or any national securities exchange, registered securities association, registered clearing agency, or the Municipal Securities Rulemaking Board?

No

2. Are you, or were you at the time you acquired the original information you are submitting to us, a member, officer, or employee of a foreign government, any political subdivision, department, agency, or instrumentality of a foreign government, or any other foreign financial regulatory authority as that term is defined in Section 3(a)(52) of the Securities Exchange Act of 1934 (15 U.S.C. Section §78c(a)(52))?

No